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145 1. Introduction

146 A suite of hydraulic stimulation tests have been performed at the Bedretto Underground Laboratory 147 for Geosciences and Geoenergies (BULGG) to stimulate fractures intersected by drilled wells. This 148 report presents the numerical modeling and interpretation of the hydraulic stimulation performed in 149 wellbore CB1 in January 2020. The modeling approach follows three steps, each one adding 150 complexity to the numerical solution as the simulated processes progressively resemble reality. In the first approach, the permeability of the whole fracture is manually changed with time to calibrate the 151 152 injection pressure evolution. In the second approach, permeability changes in response to fracture 153 opening following the cubic law, resulting in variable permeability in time and space along the fracture. In these two approaches, the fracture is assumed to behave elastically. In the third approach, an 154 155 elastoplastic constitutive law with slip weakening and dilatancy is assumed. The fully coupled hydro-156 mechanical numerical models are implemented using the software Code Bright (Olivella et al., 1994 157 and 1996).

158 2. Methodology

159 2.1 Geometry, material properties and initial and boundary conditions

160 The numerical model is a 2D plane-strain inclined plane that contains the boreholes that, in average, 161 dip 42^o downwards. The model has a large extension: the whole domain covers around 75 km² of the site (Figure 1). The upper boundary includes the central part of the Bedretto gallery. The bottom 162 163 boundary is at a true vertical depth of 5000 m (-7472.4 m in the inclined model). The major fractures and shear zones identified through borehole logging and geological structural analysis is also included 164 in the model. The mesh also includes the main boreholes (CB1 to CB4, ST1 and ST2) and is highly 165 refined at the closest vicinity of the modelled fractures and the boreholes. Overall, the mesh consists 166 167 of 27248 quadrilateral elements with corresponding 27420 nodes.

- 168 Numerical models have been developed based on three approaches. First, a model in which the 169 temporal evolution of the fracture permeability has been manually prescribed to achieve a reasonable 170 fit of the measured pressure evolution at the injection borehole (termed here model I). The fracture 171 has been modelled as an elastic continuum medium with homogeneous hydromechanical properties. 172 However, changes in fracture aperture occur mainly in the vicinity of the injection well as a result of 173 injection overpressures. Hence, the second model (model II) employs the so-called embedded model 174 in which permeability is a function of volumetric strain in the fracture based on the cubic law (Olivella 175 and Alonso, 2008). In this model, fracture permeability varies with distance from the injection well 176 and remains constant and equal to the initial value far away from it, where pressurization has not 177 expanded the fracture. While this approach allows to obtain a good reproduction of the injection 178 pressure at early stages, it fails to provide a good fitting once the fracture reactivates and inelastic 179 strains occur. Thus, to account for permeability enhancement induced by dilatancy due to shear slip 180 of the fracture, we use a viscoplastic constitutive law that includes dilatancy and strength softening 181 (models III and IV). Table 1 summarizes the input values for these models. The rock matrix is a granite 182 with the following properties: Young modulus equal to 46 GPa, Poisson ratio equal to 0.37, porosity
- 183 of 0.005, and constant intrinsic permeability of 2. $5 \cdot 10^{-18}$ m².











Figure 1. (a) Mesh of the entire model, detail of the refined mesh around the BULGG, geometry
including the wellbores and the identified fractures (the stimulated fracture is plot in green), (b) view
of the identified fractures and details around the injection interval, including the boreholes (in light
blue), the packers (in light green) and the stimulated fracture (in orange).



193 *Table 1. Material properties of the stimulated fracture for models I to IV.*

Model	I	II	III	IV	
Mechanical behaviour	Elastic		Viscoplastic		
Permeability	Prescribed		Embedded mode	el	
Young's modulus		23 GPa			
Poisson ratio	0.37				
Viscosity (in the viscoplastic model of the fracture)	-	-	2.5 GPa∙s		
Peak friction angle	-	-	23º	23º	
Residual friction angle	-	-	23º	20º	
Peak & residual cohesion	-	-	0.01 MPa		
Dilatancy angle	-	-	23º	20º	
Critical value of the softening parameter (η*)	-	-	0.002	0.001	

194

The models are initialized by simulating the drainage effect of the tunnel on pore pressure and the 195 196 subsequent consolidation, which tends to close fractures. The drainage period covers 40 years, 197 approximately since the end of the excavation in 1976 until present. Subsequently, the stimulation test is modelled by injecting water through the isolated section of borehole CB1, at a measured depth 198 199 of 267 m (true vertical depth 188.8 m), into the fracture (in orange in Figure 1a). The numerical 200 simulations cover the first 3.5 hours of the field experiment, during which we compare the simulation 201 and the experimental results. In the following, certain results will be displayed along red line in Figure 202 2, i.e., at the closest vicinity of the borehole CB1.

Both sides and bottom of the model are fixed against lateral and vertical displacements, respectively.
 A linear distributed fluid pressure and initial stresses are applied to the model from top to bottom

according to Table 2.



206

Figure 2. Fracture profile. Results are reported along the red line through the stimulated fracture (ingreen).



209 Table 2. Initial stresses and pressure applied to the model

	σ _x (MPa)	σ _y (MPa)	σ _z (MPa)	<i>р_f</i> (МРа)
Тор	18.2	20.7	21.8	13.5
Bottom	108.2	124.4	133.3	63.6

210

211 2.2 Governing equations

The mechanical problem is solved by satisfying the momentum balance. Neglecting inertial terms, themomentum balance reduces to the equilibrium of stresses,

$$\nabla \cdot \boldsymbol{\sigma} + \boldsymbol{b} = \boldsymbol{0} \tag{1}$$

215 where σ is the total stress tensor and **b** is the vector of body forces.

In linear elasticity theory for continuous media, the relationship between stresses, strain, and fluid
 pressure for isotropic materials is given by Hooke's law,

218
$$\Delta \boldsymbol{\sigma} = K \varepsilon_{v} \boldsymbol{I} + 2G \left(\boldsymbol{\varepsilon} - \frac{\varepsilon_{v}}{3} \boldsymbol{I} + \frac{\alpha}{2G} \Delta p_{f} \boldsymbol{I} \right), \qquad (2)$$

where ε_{v} is volumetric strain, I is the identity matrix, ε is the strain tensor, K = E/(3(1-2v)) is the bulk modulus, G = E/(2(1+v)) is the shear modulus, E is Young's modulus, v is the Poisson ratio, p_{f} is the fluid pressure, and α is the Biot effective stress coefficient. In this work, we assume $\alpha = 1$, which leads to the strongest hydromechanical coupling (Zimmerman, 2000).

Equation (2) can be coupled with the flow equation through fluid pressure. Assuming that there is no external loading and neglecting the compressibility of the solid phase, fluid mass conservation can be written as

226
$$\frac{\Phi}{K_f} \frac{\partial p_f}{\partial t} + \frac{d}{dt} (\nabla \cdot \boldsymbol{u}) + \nabla \cdot \boldsymbol{q} = 0, \qquad (3)$$

where Φ is porosity, $1/K_f$ is water compressibility, t is time, **u** is the displacement vector and **q** is the water flux, given by Darcy's law. Notice that the flow (Eq. 3) and mechanical (Eq. 2) equations can be also coupled through the volumetric strain (second term in the left-hand side of Eq. 3), which can be expressed as the divergence of the displacement vector.

231 2.3 Fracture reactivation

Fracture reactivation is modelled by a viscoplastic constitutive law in which failure is given by the Mohr-Coulomb failure criterion and includes dilatancy and strain-softening. The yield function (*F*) and the flow rule (*G*) are defined as

235
$$F = p \cdot \sin \varphi(\eta) + \left[\cos \theta - \frac{1}{\sqrt{3}} \sin \theta \cdot \sin \varphi(\eta)\right] \cdot \sqrt{J_2} - c(\eta) \cdot \cos \varphi(\eta), \qquad (4)$$

236
$$G = \xi \cdot p \cdot \sin \psi + \left(\cos \theta - \frac{1}{\sqrt{3}} \sin \theta \cdot \sin \psi\right) \cdot \sqrt{J_2} - c(\eta) \cdot \cos \varphi(\eta), \qquad (5)$$



where *p* is the mean stress, J_2 is the second invariant of the stress tensor, η is the softening parameter, ξ is a parameter for the plastic potential, and ψ is the dilatancy angle. The stress function $\phi(F)$ is

240
$$\Phi(F) = F^m \text{ for } F \ge 0 \quad \Phi(F) = 0 \text{ for } F < 0,$$
 (6)

241 where *m* is a constant power, chosen equal to 3 here. Both the cohesion and the friction angle depend 242 on the softening parameter (η) as

 $k(\eta) = \begin{cases} k^{peak} & \eta \leq 0\\ k^{peak} + \left(\frac{k^{res} - k^{peak}}{\eta^*}\right) \cdot \eta & 0 \leq \eta \leq \eta^*, \\ k^{res} & \eta^* \leq \eta \end{cases}$ (7)

- 244 where k represents either cohesion (c) or friction angle (φ), and k^{peak} and k^{res} are peak and residual
- values, respectively. Figure 3 represents the variation of *k* based on the softening parameter.



246

Figure 3. Variation of cohesion and friction angle (denoted as k) as a function of the softening parameter η .

249 η^* is the value of the softening parameter controlling the transition between the softening and 250 residual stages. The softening parameter depends on plastic strains:

251
$$\eta = \sqrt{\frac{3}{2} \cdot \left[\left(\varepsilon_x^p - \varepsilon_m^p \right)^2 + \left(\varepsilon_y^p - \varepsilon_m^p \right)^2 + \left(\varepsilon_z^p - \varepsilon_m^p \right)^2 + \left(\frac{1}{2} \gamma_{xy}^p \right)^2 + \left(\frac{1}{2} \gamma_{yz}^p \right)^2 + \left(\frac{1}{2} \gamma_{zx}^p \right)^2 \right]}, \quad (8)$$

where $\varepsilon_m^p = \frac{1}{3}(\varepsilon_x^p + \varepsilon_y^p + \varepsilon_z^p)$, and ε and γ are the diagonal and off-diagonal terms of the plastic strain tensor, respectively.

- **254** 2.4 The embedded model
- Fracture permeability can be computed using the cubic law (Witherspoon et al., 1980), taking into account that aperture changes are a function of volumetric strain as
- 257 $k = k_m + \frac{(b_0 + a\Delta\varepsilon)^3}{12a},$ (9)

where k_m is the intrinsic permeability of the matrix within the fracture zone (green region in Figure 1b), a is the spacing of the fractures within the fracture zone, b_0 is the initial fracture aperture, $\Delta \varepsilon$ is



the volumetric strain change ($\Delta \varepsilon = \varepsilon - \varepsilon_0$), and ε_0 is a threshold value. The input parameters for the embedded model are presented in Table 3. Note that b_{max} is the maximum aperture (upper bound of aperture), above which fracture permeability stops increasing.

263 Table 3. Parameters used in the embedded model.

k_m (m²)	Φ	$arPsi_{min}$	b_0 (m)	<i>a</i> (m)	\mathcal{E}_0	b_{max} (m)
5·10 ⁻¹⁷	0.005	0.001	2.25·10 ⁻⁶	0.01	0	1.34·10 ⁻⁵

264

265 3. Results

266 3.1 Model I with prescribed permeability

267 The temporal evolution of permeability of the stimulated fracture is manually calibrated in such a way 268 that model outputs reproduce the pressure evolution at the injection well. Although this model yields 269 an overall good fit of measured pressures (Figure 4), it fails to reproduce the shape of the pressure 270 curve in each injection step, in which pressure increases sharply at the beginning but the subsequent 271 pressure build-up diminishes smoothly. In short, model I fits well the begin and end pressures in each 272 step, but not the intermediate evolution. The discrepancy between simulation results and field 273 measurements mainly stems from the fact that permeability changes are prescribed for the whole 274 fracture and not just for the actually stimulated region, which results in a rapid pressure diffusion 275 along the whole fracture (Figure 5). The contour plots of pore pressure at the same the selected times 276 in Figure 5 reveal that adjacent fractures and wellbores start to be pressurized after 2.6 h of 277 stimulation (Figure 6). The pressurization becomes significant outside the stimulated fracture after 3.4 278 h of stimulation, including the low-permeable rock matrix, which indicates that leak-off is non-279 negligible and may be responsible of water back-flow into the injection wellbore outside the region 280 between packers (Figure 6e). Actually, bypass (i.e., back-flow to the section above the upper packer) 281 was measured in the field, which confirms that, albeit simple, model I captures the main physical 282 phenomena. Figure 7 displays the temporal evolution of generated overpressure at the borehole and, 283 superimposed, the temporal evolution of manually calibrated fracture permeability. As observed, the initial permeability renders a good fit until t=1.5h, moment at which the jacking pressure is achieved 284 285 and the initial tight fracture is open, which leads to (1) the first sudden pressure drop under constant 286 flow rate, and (2) the corresponding sudden permeability increase. A second pressure drop under constant flow rate occurs, e.g., at t=1.75h, with corresponding permeability increase. Notably, the 287 proper fit of the shut-in episode at t=2h requires a sudden drop of fracture permeability. This effect is 288 289 not observed at t=2.5 and 3.6h, when subsequent shut-in episodes took place.



290

Figure 4. Calculated and measured pressure evolution at the injection well for the model with
 prescribed permeability (Model I). The injection scheme is also included for visualization purposes.



Figure 5. Pressure profile along the stimulated fracture at five times for Model I (see fracture profile in
 Figure 2). The borehole corresponds to d = 22 m., distance at which pressure peaks are obviously more
 prominent.











Figure 6. Contour plots of pore pressure (in MPa) at (a) 0.6 h, (b) 1.2 h, (c) 1.7 h, (d) 2.6 h and (e) 3.4 h
after the start of stimulation for Model I.



Figure 7. Temporal evolution of overpressure at the injection borehole and of manually calibrated
 fracture permeability.

303 3.2 Model II with variable permeability and elastic behaviour

304 The embedded model (Eq. (9)) accounts for both the spatial and temporal evolutions of fracture 305 permeability. The green curve in Figure 8 depicts the temporal evolution of generated overpressure 306 at the injection borehole predicted by the model with variable permeability as a function of fracture 307 aperture (Model II). This model can accurately reproduce the field test results until 0.6 h. The 308 subsequent discrepancy is likely due to dilatancy of the fracture and consequent permeability 309 enhancement as the fracture reaches its yield point and undergoes shear slip. However, material behaviour is elastic in this model and thus, cannot reflect the proper mechanical response. 310 Consequently, the generated strain is lower than the actual one, and the embedded model yields less 311 312 permeability enhancement than the actual one. As a result, the calculated overpressure is higher than 313 the field response due to the lower permeability.



315 *Figure 8. Temporal evolution of overpressure at the injection well for the model with variable* 316 *permeability and elastic behaviour for the fracture (Model II).*

The main issue with the model I is that fracture permeability is homogeneous at any given time. Using 317 318 an embedded model (which is a function of volumetric strain, Figure 9) shows that permeability increases locally near the injection well and that enhancement is lower away from the well. The 319 320 longitudinal profile of fracture permeability displays an increase (around 3 orders of magnitude at maximum) in fracture permeability due to the fracture aperture enhancement (Figure 10). Note that 321 permeability enhancement occurs within the pressurized region of the fracture (Figure 11). As a result, 322 323 the pore pressure perturbation of nearby fractures and wellbore occurs at a later stage and with lower magnitude as compared to Model I (Figure 12). 324



326 *Figure 9. Volumetric strain profile along the fracture with Model II (see fracture profile in Figure 2).*



Figure 10. Fracture permeability profile for five selected times during injection in Model II (see fracture
profile in Figure 2).





Figure 11. Pressure profile along the stimulated fracture at five times for Model II (see fracture profilein Figure 2).









Figure 12. Contour plots of pore pressure (in MPa) at (a) 0.6 h, (b) 1.2 h, (c) 1.7 h, (d) 2.6 h and (e) 3.4
h after the start of stimulation for Model II

Figure 13 and Figure 14 display the contour plots of permeability and volumetric strain, respectively, at five selected control times. The pressurization of the fracture (Figure 12) causes its expansion (Figure 14), enhancing permeability (Figure 13). The permeability enhancement is moderate, with kremaining below 10^{-14} m², and thus, the predicted pressure build-up becomes excessively high compared to field measurements at late times.



















Figure 14. Contour plots of volumetric strain at (a) 0.6 h, (b) 1.2 h, (c) 1.7 h, (d) 2.6 h and (e) 3.4 h after
the start of stimulation for Model II. Negative values (blue colours) indicate expansion.

348

349 3.3 Models III & IV with variable permeability and viscoplastic behaviour

To overcome the limitations of the elastic model in simulating the hydro-mechanical behaviour of the fracture after its reactivation, we utilize viscoplasticity with dilatancy and strength softening (equations 4-8). Note that models III and IV utilize the same constitutive equations but with slightly different parameters (Table 1). The remaining two models reproduce the temporal evolution of generated injection overpressure of the field test better than previous models, especially during the last injection phase (Figure 15).





Figure 15. Temporal evolution of overpressure at the injection well using models with variable
 permeability and viscoplastic behaviour for the fracture (models III and IV).

These models allow to simulate fracture reactivation and yield an additional permeability enhancement (Figure 16 and Figure 17 for models III and IV, respectively) when compared with the elastic model (Figure 10). In this case, permeability enhancement is given by both elastic strain (Figure 18 and Figure 19 for models III and IV, respectively) and plastic strain (Figure 20 and Figure 21 for deviatoric and volumetric strain, respectively). Plastic strain further enhances fracture permeability, which smaller pressure build-ups and thus, to a better approximation of the field data (Figure 15).

365



Figure 16. Longitudinal profile of fracture permeability at five selected times for Model III (see fractureprofile in Figure 2).





Figure 17. Longitudinal profile of fracture permeability at five selected times for Model IV (see fractureprofile in Figure 2).

372



Figure 18. Volumetric strain profile along the fracture at five times for Model III (see fracture profile in
Figure 2).



376

Figure 19. Volumetric strain profile along the fracture at five times for Model IV (see fracture profile in
Figure 2).



Figure 20. The longitudinal profile of the deviatoric plastic strain at four times for both viscoplastic
 models (solid lines: Model III, hyphened lines: Model IV) (see fracture profile in Figure 2).



Figure 21. The longitudinal profile of the volumetric plastic strain at certain times for both viscoplastic
 models (solid lines: model III, hyphened lines: model IV) (see fracture profile in Figure 2).

385 The pore pressure build-up along the stimulated fracture (Figure 22 and Figure 23 for models III and 386 IV, respectively) mainly occurs within the reactivated region with irreversible strain (recall Figure 20 387 and Figure 21). For illustrative purposes, we show the contour plots of pore pressure (Figure 24), 388 permeability (Figure 25), volumetric strain (Figure 26) and deviatoric plastic strain (Figure 27) for 389 Model III. The results for Model IV are very similar to those of Model III. Note that as the stimulation 390 progresses, pressure diffusion advances across the intact rock matrix and affects nearby fractures. 391 Furthermore, ahead of the pressurization front, extension occurs in certain zones of the rock matrix, 392 causing pressure drop. When accounting for fracture reactivation and dilatancy, the fracture opens significantly as a result of shear slip, causing a permeability enhancement of several orders of 393 394 magnitude that significantly lowers pore pressure build-up.



Figure 22. Pressure profile along the stimulated fracture at five times for Model III (see fracture profilein Figure 2).



400 Figure 23. Pressure profile along the stimulated fracture at five times for Model IV (see fracture profile401 in Figure 2).









402

403 Figure 24. Contour plots of pore pressure (in MPa) at (a) 0.6 h, (b) 1.2 h, (c) 1.7 h, (d) 2.6 h and (e) 3.4
404 h after the start of stimulation for Model III.



















(b)

(d)

(a) t=1.2 h *t*=0.6 h (c) *t*=1.7 h *t*=2.6 h (e)

409

408

Figure 26. Contour plots of volumetric strain at (a) 0.6 h, (b) 1.2 h, (c) 1.7 h, (d) 2.6 h and (e) 3.4 h after 410 the start of stimulation for Model III. 411

t=3.4 h



Figure 27. Contour plots of deviatoric plastic strain at (a) 1.2 h, (b) 1.7 h, (c) 2.6 h and (d) 3.4 h after
the start of stimulation for Model III. Note that at 0.6 h, the fracture has not failed yet.

We choose three points in the fracture to monitor the evolution of the deviatoric plastic strain during injection (Figure 28). The strain sharply rises after failure in the three points. Once failure occurs, the plastic strain increases sharply and, later on, plastic strain accumulates at very low rate. The reactivation front, which coincides with the sharp increase in plastic strain, progressively advances away from the injection well (Figure 21), advancing not far behind the pressurized region, as indicated by the region with elastic volumetric strain (Figure 18 and Figure 19). Plastic strain propagates by the end of the simulation at 45 m of the fracture, i.e., some 20 m away from the injection well.

422



424 Figure 28. The evolution of the deviatoric plastic strain at three different point in the fracture. Point 3
425 is placed some 10 m away from the injection well.

426



Pressurization of the fracture causes a poromechanical response of the rock matrix, inducing shear stress that affects nearby fractures (Figure 29). Initially, the induced stresses are reversible (Figure 29a) and would vanish shortly after shut-in. However, once the fracture is reactivated, an irreversible stress drop occurs within the slipped zone and the loves of positive shear stress are displaced following the tips of the slipped zone (Figure 29b-e). As slip accumulates, the region with shear stress changes extends, affecting fractures that are placed further away from the stimulated fracture. Thus,

433 stimulation of a single fracture modifies the stability of nearby fractures.



434

Figure 29. Contour plots of shear stress at (a) 0.6 h, (b) 1.2 h, (c) 1.7 h, (d) 2.6 h and (e) 3.4 h after the
start of stimulation for Model III.

Figure 30 depicts the stress paths, which represent the successive states of stress during the stimulation test, at the three points shown in Figure 28. Figure 30 also shows the failure surfaces at the peak friction angle for both viscoplastic models (III and IV). The initial stress is always stable and is directed towards the failure surface as pore pressure increases, with constant or increasing deviatoric stress. The q-p' trajectories of Model IV do not display the slip weakening, which means that the critical value of the softening parameter should be smaller than the selected 0.001 to have a marked effect.



443 Note that the plastic strain is very similar in both models until late times of the test (Figure 20 and444 Figure 21). Failure occurs under compression in all cases.





446 Figure 30. Effective stress path for both viscoplastic models and their failure surface for three different
447 points located within the fracture (points are indicated in Figure 28).

448 We have continued the simulation of Model IV for three more injection cycles. The pore pressure 449 evolution at the well reproduces fairly well the field measurements (Figure 31). Thus, our model 450 reproduces the progressive hydraulic stimulation of the fracture, which enhances permeability away 451 from the injection well as the number of injection cycles accumulates (Figure 32). Permeability enhancement occurs at 36 m away from the injection well in the fifth injection cycle in which 452 453 microseismic events are induced (Figure 32). Field measurements display a sharper pressure response 454 at the well, both at the onset of injection and after shut-in, which may indicate instantaneous opening and closing of the fracture that is not fully captured by the numerical model (Figure 31). A number of 455 456 microseismic events could be located during the field experiment (dots in Figure 30). They coincide 457 with the injection periods as the fracture undergoes shear slip as a result of pressurization.



459 Figure 31. Pressure evolution and flow rate at the injection well for a larger number of injection cycles

460 for Model IV compared to the field measurements. The time of occurrence of the microseismic events461 is indicated with a dot.









463

Figure 32. (a) Permeability evolution at several points along the fracture together with the time of
occurrence of the microseismic events. The sharp permeability increase indicates when the pressure
perturbation front reaches each point. (b) the location of each point along the fracture, with the
permeability after 6 hours of stimulation in the background. The injection well is located in point 6 and
as a reference, point 3 is located 12 m away from the injection well.

469 3.4 Comparison of all models

The comparison between pore pressure evolution calculated by models I to IV highlights that viscoplastic models better reproduce field test data. At the timestamp of microseismic events (yellow dots in Figure 33), models I and II forecast significantly larger overpressure compared to the measured one. Thus, according to models I and II, microseismic events after shear failure should have occurred way before. This discrepancy suggests that the fracture was undergoing progressive shear slip and opening due to dilatancy, and thus permeability enhancement, when the microseismic events were monitored.



Figure 33. Pressure evolution at the injection well for the field experiment as well as the four numerical
models; the solid blue line represents injection flow rate (lit/min); yellow dots indicate the time of the
microseismical events.

481 Note that permeability enhancement is one order of magnitude larger for the viscoplastic models than 482 for the elastic models (Figure 34). Albeit some permeability drops during shut-in periods (associated 483 with partial fracture closure) are observed, the overall permeability enhancement is permanent and 484 thus, contributes to improve borehole injectivity and eventually to connect a doublet. Note that the 485 microseismic events correspond to moments of permeability enhancement. The sharp permeability 486 enhancement in Point 3 (see Figure 28) that coincides with the first microseismic events could be 487 associated with shear slip of the fracture around that point (Figure 31c).









492 Figure 34. Fracture permeability evolution in models with prescribed and variable permeability at
493 points (a) 1, (b) 2 and (c) 3 indicated in Figure 28.







The comparison between permeability profiles along the fracture indicates larger enhancement of permeability (Figure 35) due to the larger increase of fracture opening () in viscoplastic models. The permeability enhancement of one order of magnitude extends up to 40 m along the fracture towards the end of the stimulation. Both models III and IV yield similar results, which highlights the nonuniqueness of characterizing the strength of stimulated fractures by calibrating field data. Given that the pore pressure evolution predicted by the model fits well the one observed in the field, the stimulated area shown in the model gives an idea of which could be the actual one.

502 Figure 37 highlights the differences in pore pressure along the fracture for models I, II and III once the 503 fracture is reactivated, i.e., for times longer than 0.65 h. Simulation results show that when the 504 permeability is manually changed in the whole fracture (model I), pore pressure diffuses along the 505 whole fracture, yielding a pore pressure distribution that differs from the actual one. When simulating 506 variable permeability as a function of the injection-induced fracture aperture changes (models II and 507 III), pore pressure diffusion occurs slowly because of the low initial fracture permeability. The region where pore pressure increases undergoes extension, and dilation in model III when shear failure 508 509 conditions are reached, enhancing permeability. The effect of the additional permeability 510 enhancement caused by shear slip is evident when comparing the pore pressure profiles of models II 511 and III: pressure build-up is lower in the viscoplastic model than in the elastic one.



Figure 35. Comparison of fracture permeability profile for different models; solid lines represent
viscoplastic Model III, hyphened-lines represent viscoplastic Model IV, and dotted-lines are for elastic
Model II (see fracture profile in Figure 2).



Figure 36. Comparison of fracture aperture along the stimulated fracture for the viscoplastic models III
(solid lines) and IV (dashed lines), and the elastic model II (dotted lines) at five times of stimulation.



Figure 37. Comparison of pore pressure along the stimulated fracture at five moments of time for
 models I, II and III. Solid lines represent Model I in which permeability is manually changed in the whole
 fracture to reproduce the measurements at the injection well, dashed-lines represent Model II in which
 permeability changes according to the embedded model (Eq. (9)) and the fracture behaves elastically,



525 together with dilatancy that opens up the fracture as shear slip accumulates (see fracture profile in526 Figure 2).

527

528 Impact on nearby fractures

529 When stimulating a fracture, the perturbation is not limited to the fracture because (i) pore pressure 530 diffuses through the low-permeability rock matrix (Figure 24), (ii) induced poromechanical strain-531 stress changes extend further away than the stimulated fracture (Figure 26) and (iii) shear slip stress 532 transfer modifies the stress state around the slipped area of the fracture (Figure 29), which may either 533 promote shear failure of nearby fractures or inhibit it (stress shadow). At BULGG, the stress of state leads to a shear stress acting on the fractures that is left-lateral. When shear slip occurs along a 534 535 fracture, the stress drop is right-lateral (blue colours in Figure 29). The induced right-lateral shear stress is not limited to the slipped area and forms two lobes at the tips of the slipped area that affect 536 537 portions of nearby, creating the so-called stress shadow, which inhibits shear slip of these regions. 538 Thus, if subsequent hydraulic stimulations are performed in nearby fractures, the portions affected by 539 the stress shadow may not be reactivated and permeability enhancement would be limited. On the 540 contrary, the areas affected by the yellow-reddish colours in Figure 29 experience a left-lateral shear 541 stress that favours subsequent fracture reactivation if these fractures are stimulated. Note that the 542 fractures placed close to the stimulated fracture contain both areas where shear slip is promoted and 543 inhibited.

544 To illustrate the abovementioned described effect, Figure 38 displays the trajectories of the deviatoric 545 stress, q, versus the effective mean stress, p', at eight points located in nearby fractures. For 546 comparison purposes, all the axes have the same range of values. The failure surface is not plotted 547 because it is placed to the left of the plotted values, i.e., all points are stable during the whole 548 stimulation. Some areas are barely affected by stimulation (points 1 and 2). In contrast, others 549 experience significant stress changes (up to 3 MPa both in deviatoric and effective mean stress) 550 despite injection occurs in a nearby fracture and not within the fracture containing the point (points 551 4, 5 and 6). The trajectories are diverse, which highlights the complexity of the pore pressure and 552 stress changes that occur not only within the stimulated fracture, but also in its surroundings. Some 553 points approach shear failure conditions, either because of an increase in the deviatoric stress (point 554 1), a decrease in the effective mean stress (point 7) or a combination of both (point 4). Shear failure 555 conditions are also approached by a decrease in the effective mean stress accompanied by a slight 556 decrease in the deviatoric stress (point 5 after 1.5 h of stimulation), which is usually the case when 557 elastic poromechanical stress changes occur as a result of pore pressure increase (Vilarrasa et al., 558 2019). Yet, the trajectory at point 5 is more complex, showing an initial increase in deviatoric stress at 559 constant effective mean stress, followed by a decrease in the deviatoric stress and effective mean 560 stress, which could have been caused by shear slip stress transfer and subsequent slip-driven pore 561 pressure changes (Vilarrasa et al., 2021). Other sharp changes in the deviatoric stress are also induced 562 by reactivation of the stimulated fracture (see points 4 and 6). Other areas, affected by the stress 563 shadow, move away from the failure surface (points 3 and 8).





















Figure 38. (a) Location of the points within four nearby fractures to the stimulated fracture, with the
shear stress after 3.4 h in the background. (b) Trajectories of deviatoric stress (q) versus effective mean
stress (p') at the eight indicated in (a).







572 4. Conclusions

573 We have numerically modelled one of the hydraulic stimulations performed at the BULGG using three 574 different approaches. The first approach, in which the permeability of the stimulated fracture is 575 manually changed to reproduce the overpressure measured at the field in response to injection, does 576 a reasonable job in terms of curve fitting. However, since the permeability is homogeneously changed 577 all along the fracture, the pore pressure distribution and associated poromechanical response of the 578 rock are not well captured. The second approach uses the embedded model to calculate permeability 579 changes as a function of volumetric strain, which, in turn, depends on pore pressure build-up. In this 580 approach, the fracture is considered to be elastic during the whole stimulation. The temporal evolution of overpressure at the injection borehole is very well captured at the early stages of 581 582 stimulation, but it is overestimated subsequently. The divergence between simulation results and field 583 measurements coincide with the time at which the fracture yields, significantly opening up the 584 fracture due to dilation (i.e., potential jacking). To reproduce the post-failure behaviour, the third 585 approach is like the second one in terms of computing the permeability enhancement, but 586 incorporates viscoplasticity with strain weakening and dilatancy. Dilatancy results in an additional 587 enhancement of fracture permeability by one order of magnitude that renders a very good fit of field 588 measurements. Simulation results show that permeability enhancement is achieved along 40 m of the 589 fracture after 3.4 hours of hydraulic stimulation. Notably, the overall permeability enhancement is 590 permanent, despite some minor permeability drops are observed during shut-in cycles.

591 5. References

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