1	Supp	porting Information S2	
2	Environ	umental, Science & Technology: 2021	
3	<u>https://d</u>	loi.org/10.1021/acs.est.1c00976	
4			
5	De	eep Dive into Plastic Monomers, Additives	,
6		and Processing aids	
7		Helene Wiesinger*, Zhanyun Wang*, Stefanie Hellweg	
8	Chair o	of Ecological Systems Design, Institute of Environmental Engineering, ETH Zürich, 8	093
9		Switzerland	
10	*Email	: wiesinger@ifu.baug.ethz.ch; Zhanyun.wang@ifu.baug.ethz.ch	
11			
12	TABL	LE OF CONTENTS	
13	List of '	Tables	. S2
14	List of I	Figures	. S3
15		Abbreviations	
16	S1 Met	hod details	. S7
17	S1.1	Identification of relevant data sources	S7
18	S1.2	Inclusion of individual substances and associated information	S9
19	S1.3	Categorization of substance types and use patterns	S15
20	S1.4	Identification of substances of potential concern	S20
21	S2 Add	litional results	S22
22	S2.1	Substance types	S22
23	S2.2	Use patterns	S23
24	S2.3	Substances of potential concern and regulated substances	S29
25	S3 Con	nparison of chemical usage in other materials	S34
26	Referen	nces	S35
27			

28 LIST OF TABLES

29	Table S1: Major data sources for the identification of plastic monomers, additives and processing
30	aids and their information content
31	Table S2: Pedigree matrix for assessing the confidence scores of individual sources with three
32	data quality levels and four indicators
33	Table S3: Assignment of substance types based on elements present
34	Table S4: Pedigree matrix used for assessing the confidence of individual category assignments
35	with three data quality levels and five data quality indicators
36	Table S5: Criteria used for identifying substances of potential concern and their implementation
37	in this study
38	Table S6: Substances of potential concern used as plastic monomers, additives or processing aids
39	identified using different investigated hazard data sources
40	

41 **LIST OF FIGURES**

42	Figure S1: Plastic monomers, additives and processing aids per information source
43	Figure S2: Relationship between confidence (<i>C</i>) and assumed failure rate (<i>F</i>)
44	Figure S3: Distribution of confidence scores of individual sources
45	Figure S4: Robustness check of substance confidence assignment using different assignment
46	methods
47	Figure S5: Coverage of the national and regional inventories used for production volume and
48	regional use status in this study
49	Figure S6: Overview of hazard data reported by different sources
50	Figure S7: Geographical coverage of the regional regulatory lists used in assessing the regulatory
51	status of chemicals
52	Figure S8: Word cloud text visualization of all reported information and final categorization. S18
53	Figure S9: Correct and false positive assignments of keywords during manual error checks S19
54	Figure S10: Occurrence of chemical elements in individual plastic monomers, additives and
55	processing aids
56	Figure S11: Functions of the substances identified
57	Figure S12: Reported production volumes of the substances identified in the US and EU(or
58	SPIN) combined
59	Figure S13: Polymer type compatibility of the substances identified
60	Figure S14: Industrial sectors of use of the substances identified
61	Figure S15: Regions or countries of use of the substances identified
62	Figure S16: Numbers of scientific references relating to the substances reported in SciFinder.S25
63	Figure S17: Substance type profiles as radar charts for selected functions
64	Figure S18: Comparisons of single-use and durable applications regarding their substance type,
65	use and hazard profiles of relevant plastic monomers, additives and processing aids S28
66	Figure S19: Overview of substances of potential concern for different hazard data sources
67	investigated as a Venn diagram
68	Figure S20: Overview of fractions of the substances of potential concern that are HPVC,
69	regulated or researched according to SciFinder in relation to hazards
70	Figure S21: Use and substance type profiles of all plastic monomers, additives and processing
71	aids in comparison to the identified substances of potential concern as radar charts

72	Figure S22: Comparison of numbers of substances of potential concern and substances with
73	available hazard data for different substance groups
74	Figure S23: Tonnage comparison of plastic monomers, additives and processing aids depending
75	on levels of concern and numbers of hazards fulfilled
76	Figure S24: Regional use comparison of all plastic monomers, additives and processing
77	depending on levels of concern and numbers of hazards fulfilled
78	Figure S25: Scientific references comparison of all plastic monomers, additives and processing
79	depending on level of concern and numbers of hazards fulfilled
80	Figure S26: Confidence score comparison for the identification of plastic monomers, additives
81	and processing depending on levels of concern and numbers of hazards fulfilled
82	

83 LIST OF ABBREVIATIONS

Abbr.	Meaning
ABS	Acrylonitrile butadiene styrene (polymer)
AqTox	Chronic aquatic toxicity (hazard classification)
B	Bioaccumulative (hazard classification)
B&C	Building and construction (industrial sector)
С	Carcinogenic (hazard classification)
C&L	Classification and Labelling (regulation)
CAS	Chemical Abstract Service (organization)
CASRN	Chemical Abstract Service Registry Numbers (identifier)
CDR	Chemical Data Reporting (regional chemical inventory)
CLP	Classification, Labelling and Packaging of substances and mixtures (regulation)
CMR	Carcinogenicity, mutagenicity, reproductive toxicity (hazard classification)
CPCat	Chemicals in product categories database by the USEPA (database)
CPPdb	Chemicals associated with Plastic Packaging database by Groh et al (2019)
	(database)
ECHA	European Chemicals Agency (organization)
ED / EDC	Endocrine disrupting / endocrine disrupting chemical (hazard classification)
EEE	Electrical and electronic equipment (industrial sector)
EPA	Environmental Protection Agency (organization)
EPS	Expanded polystyrene (polymer)
EU	European Union (country or region)
FCM	Food-contact material (industrial sector)
FDA	Food and Drug Administration (organization)
GADSL	Global Automotive Declarable Substance List
GHS	Globally harmonized system of classification and labelling of chemicals
GRAS	Generally Recognized As Safe (regulation)
HDPE	High-density polyethylene (polymer)
HIPS	High-impact polystyrene (polymer)
HPV / HPVC	High production volume / high production volume chemical.
VENA I	Production volume > 1000 t/yr
KEMI L DDE	Swedish Chemicals Agency (organization)
LDPE M	Low-density polyethylene (polymer)
OECD	Mutagenic (hazard classification) Organisation for Economic Co-operation and Development (country or region)
P	Persistent (hazard classification)
PA	Polyamides (polymer)
PBT	Persistent, bioaccumulative and toxic (hazard classification)
PC	Polycarbonates (polymer)
PE	Polyethylene (polymer)
PET	Polyethylene terephthalate (polymer)
PP	Polypropylene (polymer)
PS	Polystyrene (polymer)
PUR	Polyurethanes (polymer)
PVC	Polyvinylchloride (polymer)
R	Toxic for reproduction (hazard classification)

REACH	Registration, Evaluation, Authorisation and Restriction of Chemicals (regulation)
RespSens	Respiratory sensitization (hazard classification)
SMILES	Simplified Molecular-Input Line-Entry System (identifier)
SPIN	Substances in Preparations in Nordic Countries (regional chemical inventory)
STOT-RE	Specific target organ toxicity upon repeated exposure (hazard classification)
t/yr	Metric tonnes per year (unit)
US	United States (country or region)
UVCB	Substances of unknown or variable composition, complex reaction products or bio logical materials (in the substance category for this study also simple mixtures and polymers are included)
vB	Very bioaccumulative (hazard classification)
vP	Very persistent (hazard classification)
vPvB	Very persistent and very bioaccumulative (hazard classification)

85 S1 METHOD DETAILS

86 S1.1 Identification of relevant data sources

In total, 186 plastic-related sources were identified; the full list can be found in the accompanying 87 excel file, categorized according to information content (Sheet S1 in Supporting Information S1). 88 The majority of them were scientific sources (73 %), followed by regulatory sources (16%), 89 90 industrial sources (8%), and sources compiled by civil society organizations (3%). In this study, only 63 of these sources that provided readily accessible information were further processed and 91 92 analysed. Most of the industry and regulatory sources identified substances by their assigned Chemical Abstract Service Registry Numbers (CASRNs) and could thus be used for the analysis, 93 94 whereas only a quarter of scientific sources did so. The regulatory sources exclusively came from

95 European countries, Canada or the United States of America (US).

Table S1: Major data sources for the identification of plastic monomers, additives and processing aids and their information content.
 Either a footnote with the URL or a reference is provided for each source. Details on the retrieval of these and all remaining sources are described in detail in Sheet S1 in Supporting Information S1, relevant entries can be linked via "id".

						Information	content
str Regulatory Scientific add	id	I Source name	CASRNs	Function	Polymer type	Industrial Sector	Further information
2	13	CPPdb ¹	4237	V	V		Hazard dataLegal status
tifi	57	Sheftel_2000 ²	1211	V			Hazard data
ien	67	Ullmann_2003 ³	793	V	\checkmark	$\mathbf{\overline{A}}$	Hazard data
Ň	23	Maier_Schiller_2016 ⁴	596	\checkmark	\checkmark	$\mathbf{\overline{\mathbf{A}}}$	• Trade names
	282	Kirk_Othmer_2000 ⁵	504	\checkmark	\checkmark		Physchem. properties
	36	REACH_plastics ⁶	3362	Ø			 Regional use Production Volume
	12	CPCat_plastics ^a	2934	Ø			 Regional use Production Volume
ry	142	Comptox_Plastic ^b	2669				 Regional use Production Volume
gulato	50	SPIN_plastic ^c	2018	Ø		V	 Regional use Production Volume
Re	45	CDR_2012 ^d	1547	Ø		V	 Regional use Production Volume
	84	EPA_CD_plastic ^e	934	Ø			 Regional use Production Volume
	146	EC_FCM_UnionList ⁷	729	Ø	V		 Regional use Legal Status
dustr y	66	SpecialChemSelector_2019 ^f	2664	Ø	V	\checkmark	Physchem. propertiesTrade names
str	114	GADSL ^g	958	\checkmark	\checkmark	\checkmark	

^a https://actor.epa.gov/cpcat/faces/home.xhtml

^b https://comptox.epa.gov/dashboard/consumer_products/chemicals?cp_cat_term=plastics

c http://www.spin2000.net/

^d https://www.epa.gov/chemical-data-reporting

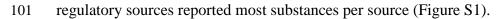
^e https://comptox.epa.gov/dashboard/downloads

f https://polymer-additives.specialchem.com/

g https://www.gadsl.org/

99 The 63 sources typically contained between 100 and 1000 relevant substances, with the Chemicals

100 associated with Plastic Packaging database (CPPdb) having reported most.¹ Among all the sources,



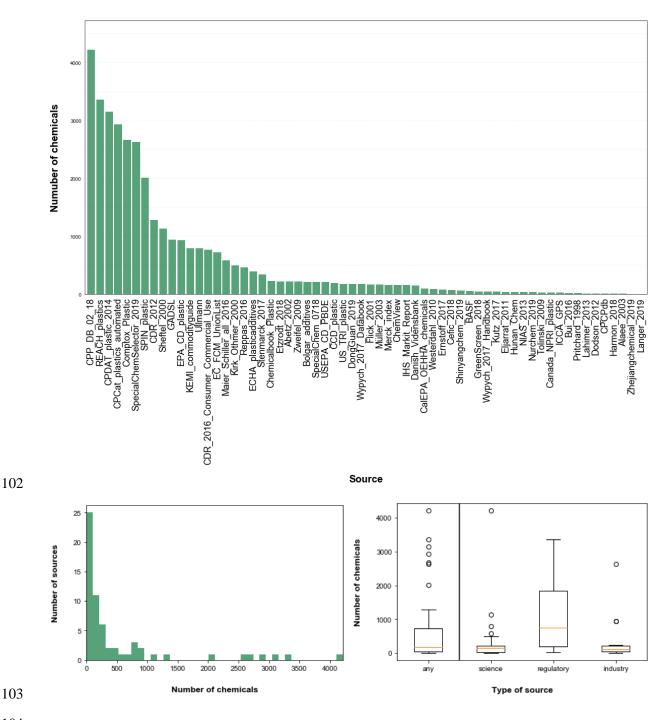


Figure S1: Numbers of plastic monomers, additives and processing aids identified per information source, as a bar chart (top). The corresponding distributions of individual sources with regard to the numbers of substances identified therein are presented as a

106 histogram (bottom left) and boxplot (bottom right). Differences among source types are also visualized in the box plot (bottom right).

108 S1.2 Inclusion of individual substances and associated information

109 S1.2.1 Identification of relevant substances

Details on information extraction for each source can be found in the accompanying excel file (Sheet S1 – Column "preparation"). All plastic-related keywords used for identifying relevant substances can be found in Table S2 under "Identification method". Antioxidants were considered generic functions due to their wide use in the food, drug and fuel industries.⁸ When no structured information was available, CASRNs were extracted from unstructured text by using automated search for the regular expression "[0-9]*-[0-9][0-9]-[0-9]".

116

117 S1.2.2 CASRN verification

All CASRNs retrieved were subsequently verified using the check-digit method.⁹ For a specific entry, if the check digit did not equal the shown calculation (Equation S1) and no blunders (e.g. misread input) could be identified, it was excluded.

Structure of a CASRN
$$N_i \dots N_4 N_3 - N_2 N_1 - R$$
Positioni... 4321check digitCheck-digit method $R = mod10 \left(\sum_{pos=1}^{i} N_{pos} * pos \right)$

Equation S1: The check-digit-method set by the Chemical Abstract Service, using the last digit in a CASRN as the "check digit".

Furthermore, the verified CASRNs were searched in SciFinder to retrieve their related CASRNs (deleted or alternate), standard names and molecular formula.¹⁰ All information was downloaded in the form of ".tsv"-files. Only standard/active CASRNs were further used as the unique identifiers for individual substances, whereas all related CASRNs (i.e. alternative and deleted ones) were used to search for and include substance-related information such as use patterns and hazard classifications to ensure maximum coverage (for more details, see S1.2.4 below).

129

130 S1.2.3 Confidence assignment

Confidence assignment was conducted for the sources as described in Section 2.2 in the main text, and more specifically, following the criteria described in Table S2. The resulting confidence scores for individual sources can be found in the accompanying excel file (Sheet S1), with their distribution illustrated in Figure S3. Scientific sources have generally lower confidence scores than
regulatory and industrial sources (Figure S3 – bottom left), mostly because regulatory and
industrial sources more frequently report first-hand information. The sources reporting a large
number of chemicals show similar confidence as those reporting fewer chemicals (Figure S3 –
bottom right).

139 Substance confidence scores were assigned primarily based on the scores of their sources (Sheet 140 S1 in Supporting Information S1). For substances that were included in multiple sources, the 141 highest score was considered. For substances that were reported by multiple first-hand information 142 sources, a combined confidence score was calculated according to Equation S2, i.e., assignment 143 method A: The main idea behind this calculation is that all first-hand information sources need to 144 "fail" to report correct information in order to lead to a false positive report. The failure rate of reporting can be imagined as reverse of the confidence scores assigned to each source (for 145 implementation, see Figure S2). The failure rate of first-hand information sources reporting the 146 147 same information was assumed to be independent, leading to an overall error frequency as product 148 of the individual error frequencies. Thus, the confidence score for individual substances that were 149 reported by several first-hand-information sources is calculated using Equation S2.

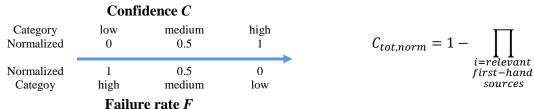


Figure S2: Relationship between confidence (C) and assumed failure rate (F) on different scales ("Category" and "Norma-lized")

Equation S2: Total normalized confidence score ($C_{tot,norm}$) of a substance that is reported by several first-hand information sources. Error frequencies of reporting by different sources are assumed to be independent.

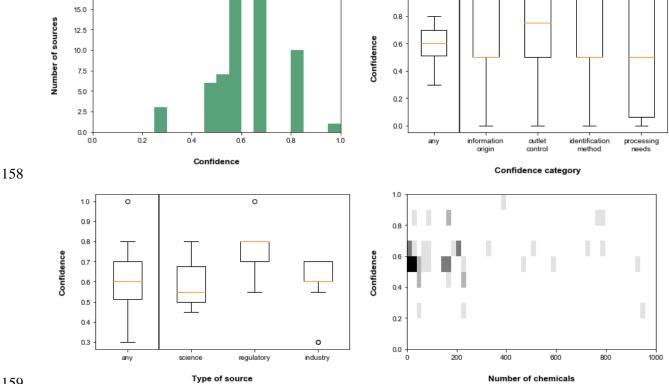
 F_i

150

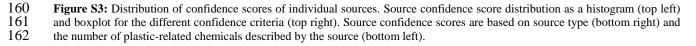
Robustness of the confidence score assignment for different chemicals was tested by using two additional assignment methods: assignment method B: All substances inherit the confidence score from their highest-scored source; and assignment method C: All substances inherit the confidence score from their highest-scored source, and additionally a bonus point (+1) was assigned for substances with multiple first-hand information sources.

Irce	Information origin	40%	66-100%	33-66%	Low 0-33%
no		1070	First-hand use information	Second-hand or compiled use information	Information of unknown origin
S (Outlet control	20%	Independent (peer-) review process	Very basic review and plausibility check	No or unknown control of outlet
	Identification method	20%	Clear evidence of use in plastics. <i>i.e. "plastics" /</i> <i>"polymer" directly in</i> <i>relevant field (e.g.</i> <i>"use in")</i>	 Likely used in plastics. "plastic"/"polymer" in closely associated field (e.g. "use by industry") plastic specific functions (i.e. "monomer", "plasticizer", "flame- retardant") 	Potentially used in plastics. e.g. generic function (i.e. "antioxidants", "biocides", "lubricants")
	Processing needs	20%	No further processing e.g. digital structured data	Automatic extraction of semi-structured data (e.g. entry by entry extraction) or manual extraction (e.g. text in natural language, pictures, analog sources)	Automatic extraction of unstructured data

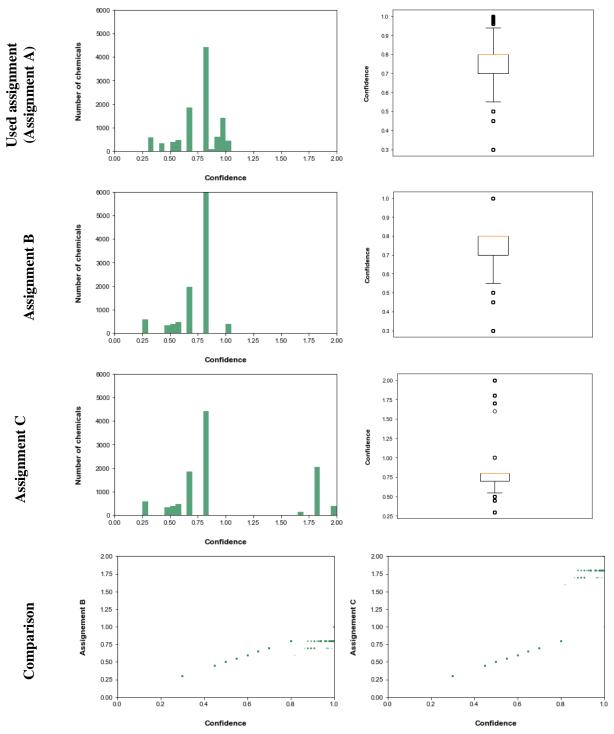
156 Table S2: Pedigree matrix for assessing the confidence scores of individual sources with three data quality levels and four indicators.

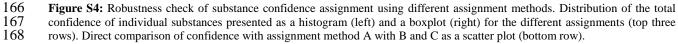






163 Comparing the different assignment methods shows that confidence assignment method A is fairly 164 robust, as independent sources are only relevant for the substances that come from sources with 165 already high confidence scores (Figure S4).

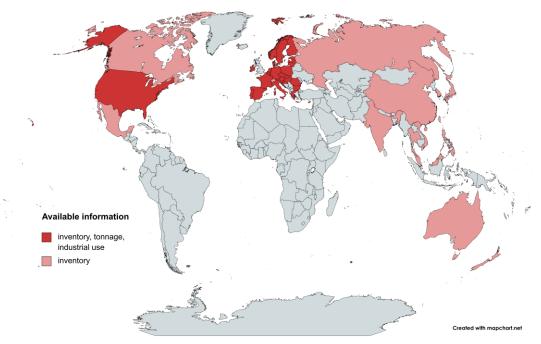




169 S1.2.4 Inclusion of further information

Details on the sources and respective retrieval procedures for further information can be found in
the accompanying excel file (Sheet S2 in Supporting Information S1).

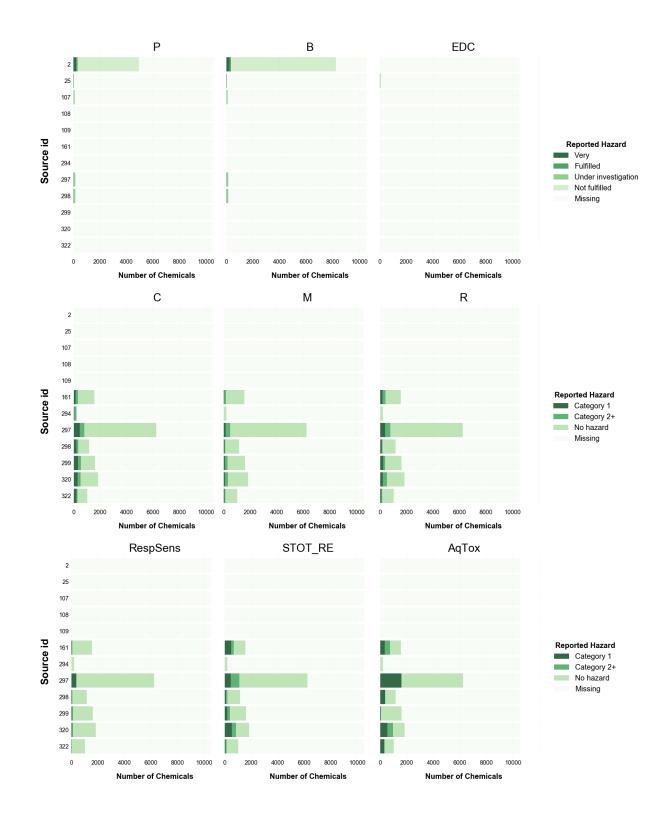
The regional use status was assessed by checking the official chemical inventories from different 172 countries and regions; their geographical coverage and information scope are depicted in Figure 173 S5. Using the income classification by the World Bank¹¹, regional chemical inventories were 174 175 mostly provided by high and upper-middle-income countries, only 3 lower-middle-income countries (Vietnam, Philippines, India) and no low-income countries provided inventories. Lacking 176 177 regional coverage regarding production, use or import of chemicals hinders assessing the global 178 relevance/market relevance of plastic monomers, additives and processing aids identified. 179 Furthermore, information on production volumes and industrial uses of chemicals (Figure S5 - red 180 filled) were only provided by the US, the EU und and the Nordic Countries (Norway, Sweden, 181 Finland, Denmark), further limiting assessment of global relevance and overall production volumes 182 of individual chemicals.



183

184 **Figure S5**: Coverage of the national and regional inventories used for production volume and regional use status in this study.

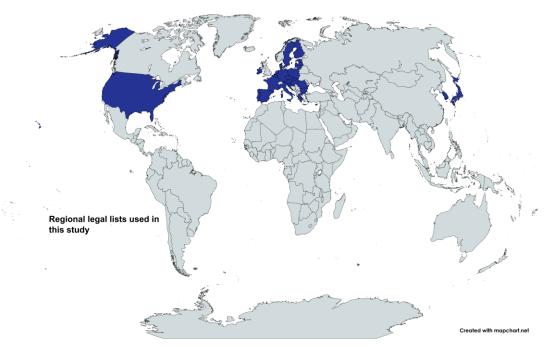
For individual hazard properties considered in this study, the consistency of hazard data was investigated by checking which substances were reported by which source (Figure S6).



188 189

Figure S6: Overview of hazard data reported by different sources. Sources are referred to by their id (for details see Sheet S2 in Supporting Information S1). The following hazard properties are considered: P=persistent, B=bioaccumulation potential, EDC=endocrine disrupting chemical, C=carcinogenicity, M=mutagenicity, R=reproductive toxicity, RespSens=respiratory sensitization, STOT_RE=specific target organ toxicity upon repeated exposure, AqTox=chronic aquatic toxicity. For persistence and bioaccumulation (first row), substances may also be reported as "very persistent" or "very bioaccumulative", respectively, which is highlighted using the dark green category.

The regulatory status of individual substances was assessed using several international and regional regulatory lists. For international regulatory lists, the latest amendments, as of the time when this study was prepared (August 2020), were used; their geographical coverage varied not only among the conventions/protocols, but also by amendments (i.e. some countries automatically ratify all amendments, whereas others need to ratify each new amendment). Regional regulatory lists were retrieved from the US, Japan, Republic of Korea and the EU (depicted in Figure S7).



201

Figure S7: Geographical coverage of the regional regulatory lists used in assessing the regulatory status of chemicals.

204 S1.3 Categorization of substance types and use patterns

205 S1.3.1 Detailed workflow

Use descriptions in the original sources were used to categorize the functions, compatible polymer types and industrial sectors of use of individual substances. Regarding functions and industrial sectors, around 40000 separate use descriptions were available and analyzed, covering 91% (for functions) and 84% (for industrial sector) of all of the substances identified, respectively. Regarding polymer compatibility, both the total number of descriptions available and the substance coverage were significantly lower, with a total of 8120 descriptions covering 45% of all of the substances identified. Chemical identifiers (such as standardized names, molecular formulas and SMILES) were used to categorize substance types. The latter were retrieved from SciFinder ¹⁰ (only these standardized identifiers were used to determine the substance type of a chemical, whereas identifiers mentioned in other sources were stored but not further processed). 32548 data points are available for chemical names, molecular formulas and SMILES, covering all of the identified substances.

The categorization was conducted using the categories and keywords in the accompanying excel file (Sheets S3–S6 in Supporting Information S1). The raw text from the descriptions and names, and the final categorization, are visualized as wordclouds in Figure S8 (the larger a word, the more frequently it occurs). The text was preprocessed by removing already defined keywords and language-specific irrelevant terms (e.g. "a", "the", "and") before visualization. The substance type of each substance was determined based on chemical elements present in the substance according to Table S3.

225 **Table S3:** Assignment of substance types based on elements present

Substance Type	Presence	Absence	Example
Inorganics		Organic carbon	Titanium(IV) chloride (TiCl ₄ , CASRN 7550-45-0)
Metalorganics/ Organometallics / organic metal salts	Organic carbon, metals or metalloids		Zirconocene dichloride (Cp ₂ ZrCl ₂ , CASRN 1291-32-3)
Organosilicon ¹	Organic carbon, silicon	Metals, metalloids	Ethenyltrimethoxysilane (C ₅ H ₁₂ O ₃ Si, CASRN 2768-02-7)
Organophosphorus ¹	Organic carbon, phosphorus	Metals, metalloids, silicon	Tris(1,3-dichloro-2-propyl) phosphate (C ₉ H ₁₅ C ₁₆ O ₄ P, CASRN 13674-87-8)
Organosulfurs ¹	Organic carbon, sulfur	Metals, metalloids, silicon, phosphorous	Bisphenol S (C ₁₂ H ₁₀ O ₄ S, CASRN 80-09-1)
Organohalogens ¹	Organic carbon, halogen	Metals, metalloids, silicon, phosphorus, sulfur	Hexabromocyclododecane (C ₁₂ H ₁₈ Br ₆ , CASRN 3194-55-6)
Other aromatics ¹	Aromatic carbon	Metals, metalloids, silicon, phosphorus, sulfur, halogens	Bis(2-ethylhexyl) phthalate (C ₂₄ H ₃₈ O ₄ , CASRN 117-81-7)
Other organics ¹	Organic carbon	Metals, metalloids, silicon, phosphorus, sulfur, halogens, aromatic carbon	Octadecanoic acid (C ₁₈ H ₃₆ O ₂ , CASRN 57-11-4)

226

Iterative manual checking and correction of search terms was performed to avoid unforeseen mistakes. That means after each categorization run, a random selection of the assigned categories was manually checked for errors; for the encountered errors, the corresponding search terms were deleted, corrected or inserted. These checks can be found in the accompanying excel file (Sheet S7
in Supporting Information S1). Error checking was repeated until each occurring search term
yielded less than 10% errors (typically unavoidable ones based on the context or the ambiguous
semantics of the terms). In total, 1100 substance type assignments, 5333 function assignments,
1457 compatible polymer assignments, and 3240 industrial sector assignments were manually
checked. The correct hits and error frequency of each keyword during manual check can be found
in Figure S9.

237

238 S1.3.2 Confidence assignment

After the final categorization, a confidence score was assigned to each categorization, based on information origin and outlet control of individual sources (in line with the source confidence score assignment in Table S2), processing needs to get the final description text, nature of the keywords (i.e. synonym, hyponym, hypernym), and observed error frequency (Table S4).

Table S4: Pedigree matrix used for assessing the confidence of individual category assignments with three data quality levels and five data quality indicators.

	Criterion	Weight	High 66-100%	Medium 33-66%	Low 0-33%
Source	Information origin	50%	First-hand use information	Second-hand or compiled use information	Information of unknown origin
So	Outlet control	10%	Independent (peer-) review process	Basic review and plausibility check	No or unknown control of outlet
Processing	Processing Needs	20%	No further processing e.g. digital structured data	Automatic extraction of semi-structured data (e.g. entry by entry extraction) or manual extraction (e.g. text in natural language, pictures, analog sources)	Automatic extraction of unstructured data
	Nature of keyword	10%	Synonyms e.g. "Polyvinylchloride" for "PVC"	Hyponyms e.g. "Milk bottle" for "Food Packaging"	Hypernym e.g. "Packaging for Food Packaging
	Error frequency	10%	<3.3 % errors	3.3-6.6 % errors	>6.6 % errors

245

246 S1.3.3 Single-use vs. durable applications

A preliminary analysis was conducted to assess differences in plastic monomers, additives and processing aids used in "single-use" and "durable" applications in different industrial sectors. For this purpose, packaging was considered "single-use", while other industrial sectors were considered "durable". However, significant uncertainties remain due to substantial data gaps in understanding the industrial sector of use of individual substances and potential bias caused by inclusion of several major sources specifically dealing with "packaging". The results can be found in Section S2.2.2 below.

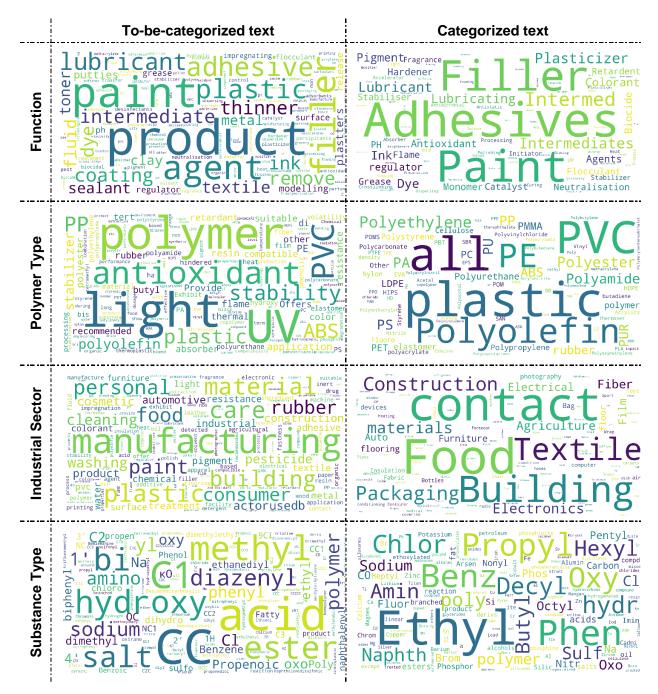
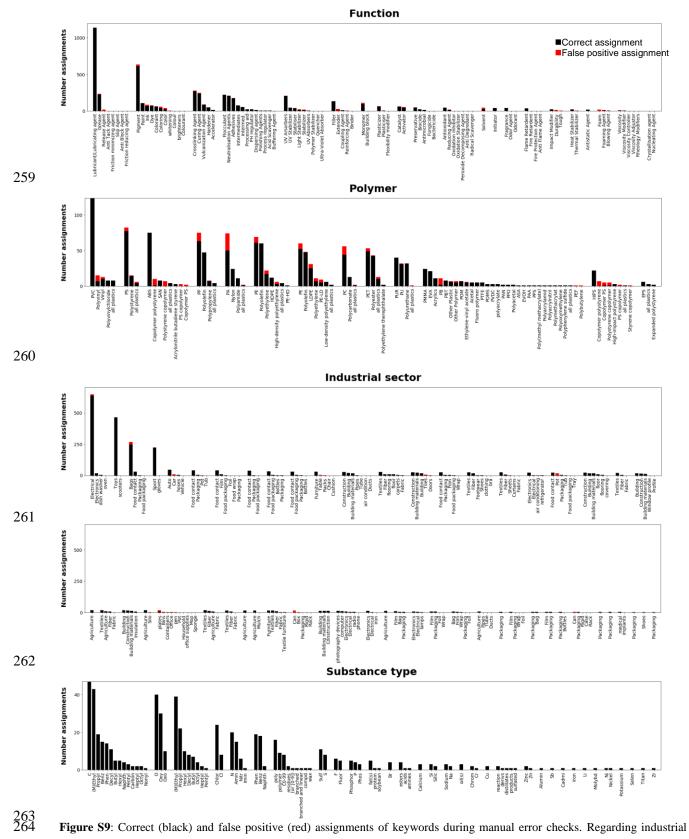
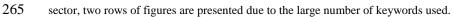




Figure S8: Text visualization of all reported information before categorization (left) and final categorization (right) with regard to function (top row), polymer type (second row), industrial sector (third row) and substance type (bottom row). The size of a word corresponds to its frequency in the text, and common words (e.g. a, the, and) are excluded.





266 S1.4 Identification of substances of potential concern

To determine substances of potential concern, hazard criteria concerning Article 57 on substances of very high concern and ANNEX XIII on persistent, bioaccumulative and toxic substances under REACH were used (for details on individual criteria and implementation in this study, see Table S5). Regarding persistence, bioaccumulation, and endocrine disruption, only regulator-harmonized hazard data were considered in this study because (1) properties relevant for persistence and bioaccumulation were not readily extractable from companyreported hazard data sources and (2) endocrine disruption does not yet have its own hazard classification category.¹² Carcinogenicity, mutagenicity, reproductive toxicity, specific target organ toxicity upon repeated exposure, and chronic aquatic toxicity were often only identified using data from the REACH and CLP registration dossiers and data from eChemPortal.

Table S5: Criteria used for identifying substances of potential concern and their implementation in this study. ID numbers in the implementation refer to the respective data sources
 (Sheet S2 in Supporting Information S1).

	Criteria according to REACH (Article 57 or ANNEX XIII)	Implementation in this	s stud	y ¹			
High production volume chemical	-	• REACH_PBT List $(ID = 107)$ ays; than 40 days; 80 days; t is higher than Outcome P vP $BPBT$ 1 0 1 vPvB 1 1 1 1 not PBT/vPvB 0 0 0 under development / pending / postponed • REACH_hazard (ID = 297, 298) <u>Assessment</u> P vP B Recognized/ Dread assessment 1 - 1					
Persistent	A substance fulfills the persistence criterion (P) in any of the following situations: a) the degradation half-life in marine water is higher than 60 days;	• REACH_PBT List (ID = 1	107)			
	b) the degradation half-life in fresh or estuarine water is higher than 40 days;	Outcome	Р	vP	В	vB	
	c) the degradation half-life in marine sediment is higher than 180 days;	PBT	1	0	1	0	
	d) the degradation half-life in fresh or estuarine water sediment is higher than	vPvB	1	1	1	1	
	120 days;	not PBT/vPvB	0	0	0	0	
	e) the degradation half-life in soil is higher than 120 days.	1	0.5	0.5	0.5	0.5	
	A substance fulfills the 'very persistent' criterion (vP) in any of the following	• REACH_hazard (ID = 297, 298)					
	situations:	Assessment	Р	vP	В	vВ	
	 a) the degradation half-life in marine, fresh or estuarine water is higher than 60 days; b) the degradation half-life in marine, fresh or estuarine water sediment is higher than 180 days; c) the degradation half-life in soil is higher than 180 days. 	Recognized/ Broad agreement	1	-	1	-	
		Minority position / Under assessment	0.5	-	0.5	-	

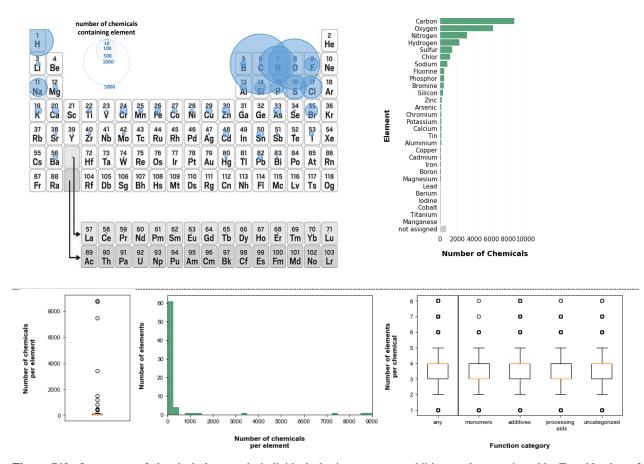
Bioaccumulative Toxic Chronic aquatic toxicity Specific organ toxicity upon repeated exposure Carcinogen, mutagen or reproductive toxicant (CMR) Endocrine disruption	A substance fulfills the bioaccumulation criterion (B) when the bioconcentration factor in aquatic species is higher than 2000.	• Legal lists (ID=25, 108)						
	actor in aquatic species is inglier than 2000.	Reason for inclusion	Р	vP	В	vВ		
	A substance fulfills the 'very bioaccumulative' criterion (vB) when the	PBT	1	0	1	0		
	bioconcentration factor in aquatic species is higher than 5000.	vPvB	1	1	1	1		
Toxic	Any of the following hazards							
1	The long-term no-observed effect concentration (NOEC) or EC10 for marine or	GHS Hazard Codes	AqTe	ox				
toxicity	freshwater organisms is less than 0,01 mg/l;	H400	1					
		H401, H402	0.5	i				
	A substance meets the criteria for specific target organ toxicity after repeated	GHS Hazard Codes	STO	T_RE	_			
	epeated exposurearcinogen, mutagenA substance meets the criteria for classification as carcinogenic (category 1A or 1B), germ cell mutagenic (category 1A or 1B), or toxic for reproduction (category	H372		1				
repeated exposure		H373	0	.5				
Carcinogen, mutagen	A substance meets the criteria for classification as carcinogenic (category 1A or	GHS Hazard Codes	С	М	R	_		
		H350	1	-	-			
	H340 - H341 - H360, H362 -	H351	0.5	-	-			
			-	1	-			
		-	0.5	-				
		-	1					
		H361	-	-	0.5			
Endocrine disruption	A substance has endocrine-disrupting properties according to Regulations EU No	• REACH_EDC List (ID = 109)						
	528/20121 and EC No 1107/2009	Outcome			ED	С		
		EDC						
		not EDC						
		under development / postponed 0.				i		
		• REACH_hazard (ID = 297, 298)						
		Assessment			ED	С		
		Recognized			1 0.5			
		Under assessment				i		
		• Other Lists (ID=25,	, 108)					
		Reason for incli	ision		ED	С		
		endocrine			1			

¹Details on the mentioned sources can be found on Sheet S2 in Supporting Information S1 under the corresponding IDs

S2 ADDITIONAL RESULTS 277

S2.1 Substance types 278

Chemical elements occurring in each plastic monomer, additive or processing aid were 279 investigated. The majority of the substances contain carbon, oxygen and hydrogen (Figure S10). 280 Around 80% were found to contain some organic moiety: alkylated substances (e.g. containing -281 282 methyl, -ethyl, or -propyl moieties) were most common, followed by substances containing aromatic moieties (e.g. phenyl, benzyl, naphthalene groups). Furthermore, halogens and metals 283 284 were frequently identified, in 20% and 25% of the substances, respectively (Figure S17). Chlorine was the most frequent halogen atom, and the most frequent metals and metalloids were sodium 285 286 (8%), silicon (4%), zinc (2%), arsenic (1%), tin (1%) and chromium (1%).



287 288

Figure S10: Occurrence of chemical elements in individual plastic monomers, additives and processing aids. Top: Number of chemicals containing individual elements in the periodic table (left) and bar chart (right). Bottom-Left: Number of chemicals per 289 chemical element as a boxplot. Bottom-Middle: Number of chemicals per element as a histogram. Bottom-Right: Number of 290 elements contained per chemical for different function categories.

292 S2.2 Use patterns

293 The following figures provide an additional overview of the substances identified, in terms of

functions (Figure S11), compatible polymer types (Figure S13), industrial sectors of use (Figure

S14), regional use status (Figure S15), production volumes (Figure S12), and numbers of related

scientific references in SciFinder (Figure S16).

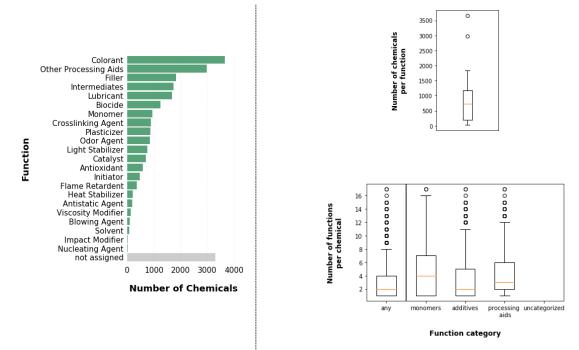
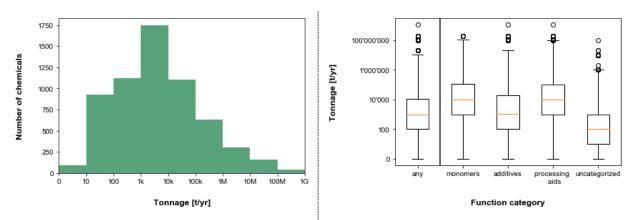
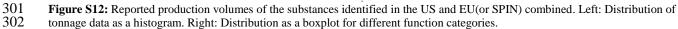


Figure S11: Functions of the substances identified. Left: Number of chemicals fulfilling individual functions. Right–Top: Number of chemicals per function as a boxplot. Right–Bottom: Number of functions per chemical as a boxplot for different function categories.

300





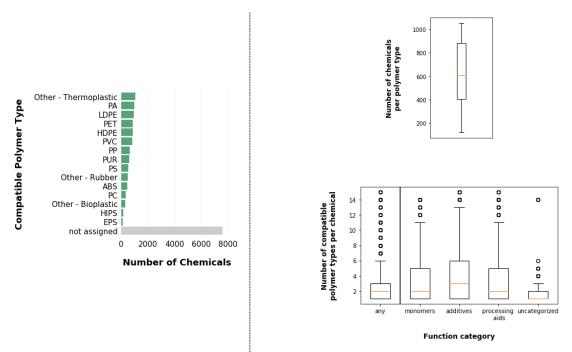
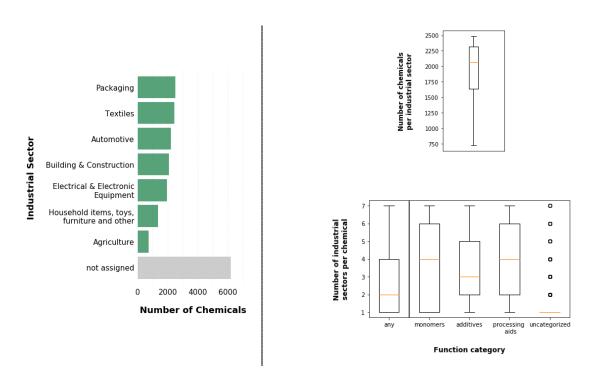


Figure S13: Polymer type compatibility of the substances identified. Left: Number of chemicals compatible with individual polymer
 Right–Top: Number of compatible chemicals per polymer type as a boxplot. Right–Bottom: Number of compatible polymer
 types. Right–Top: Number of compatible chemicals per polymer type as a boxplot. Right–Bottom: Number of compatible polymer
 types per chemical as a boxplot for different function categories. PA = Polyamides, LDPE = Low-density polyethylene, PET =
 Poly(ethylene terephthalate), HDPE = High-density polyethylene, PVC = Polyvinylchloride, PP = Polypropylene, PUR =
 Polyurethanes, PS = Polystyrene, ABS = Acrylonitrile butadiene styrene, PC = Polycarbonates, HIPS = High-impact polystyrene,
 EPS = Expanded polystyrene





311 Figure S14: Industrial sectors of use of the substances identified. Left: Number of chemicals used in each industrial sector. Right-

312 Top: Number of chemicals per industrial sector as a boxplot. Right–Bottom: Number of industrial sectors per chemical as a boxplot

313 for different function categories.

314

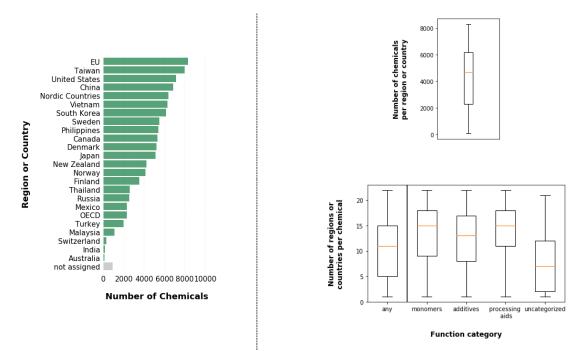
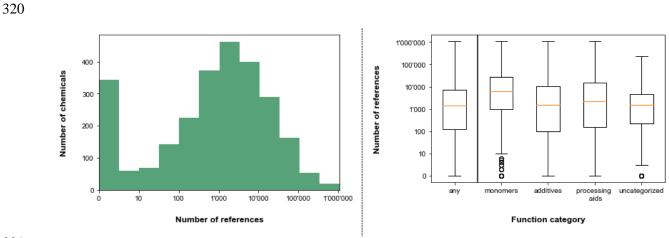
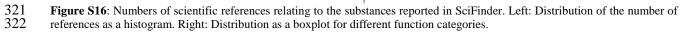
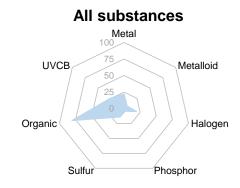


Figure S15: Regions or countries of use of the substances identified. The Nordic countries (Denmark, Finland, Norway, Sweden) are also participating within the EU; however, they report substances used within their jurisdictions directly. The "OECD" row comprises only the substances produced in high volumes (>1000 t/yr) by the OECD member states. Left: Number of chemicals per region or country. Right–Top: Number of chemicals per region or country as a boxplot. Right–Bottom: Number of regions or countries per chemical as a boxplot for different function categories.





323





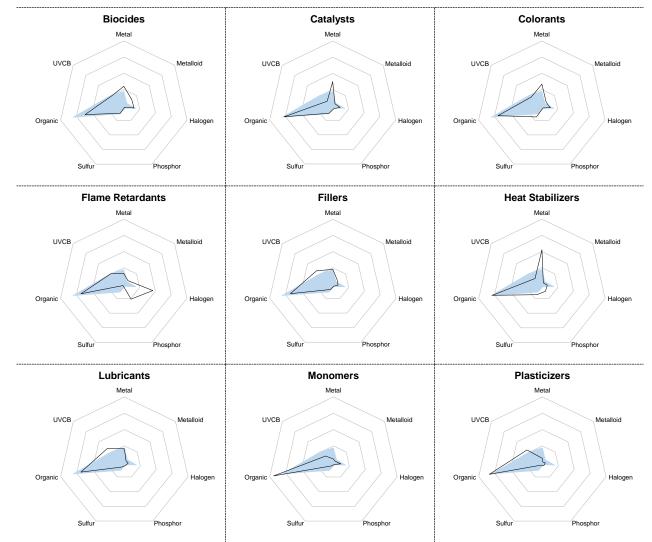


Figure S17: Substance type profiles as radar charts for selected functions. Each axis shows the percentage of the substances
 containing the respective elements or belonging to the respective group. UVCB = Substances of unknown or variable composition,
 complex reaction products, or biological materials (for this graphic, UVCBs also contain simple mixtures and polymers)

328 S2.2.1 Use patterns

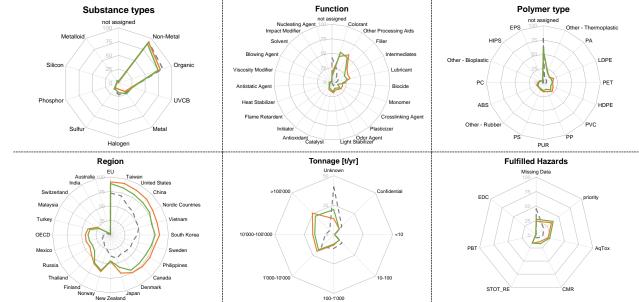
Polymer compatibility and industrial sector of use can be dependent on the function a substance fulfills in the plastic. However, due to large data gaps and many uncategorizable substances (functions: 31%, compatible polymer types: 72%, industrial sectors of use: 58%), only a few patterns are observed and need to be interpreted with caution. Plasticizers are more frequently assigned to PVC than to other polymer types. Blowing agents are reported more often in polyurethane (PUR) than in other polymer types.

Substance type profiles vary considerably across individual functions (Figure S17). Notably, catalysts more frequently contain metals than other functions. A large number of flame-retardants contain halogens or phosphorous. All of these patterns were expected based on existing literature, e.g. the common use of metal catalysts, or brominated or phosphor flame-retardants. Substances without information on their functions typically also lack information on their compatible polymer types or industrial sectors of use.

341

342 **S2.2.2 Single-use vs. durable applications**

From 4382 CASRNs that were categorized according to industrial use, 843 CASRNs were only 343 344 assigned to single-use applications, 1893 to only durable applications, and 1646 CASRNs may be 345 used in both. Some interesting differences between single-use and durable applications can be 346 observed, but due to the data gaps and potential bias from source selection (many focused on 347 packaging) these need to be interpreted with caution: (1) Halogens are more common in durable applications, (2) colorants and biocides are more often used in durable applications, (3) tonnages 348 349 and regional registrations are less well known for durable applications, and (4) proportionally more 350 substances of concern are used in durable applications (Figure S18).



□No data □Single-Use □Durable-Use

352 353 Figure S18: Comparisons of single-use and durable applications regarding their substance type, use and hazard profiles of relevant plastic monomers, additives and processing aids. Each axis shows the percentage of substances belonging to the respective group. 354 UVCB = Substances of unknown or variable composition, complex reaction products or biological materials (for this graphic 355 UVCBs also contain regular mixtures and polymers), PA = Polyamides, LDPE = Low-density polyethylene, PET = Polyethylene 356 terephthalate, HDPE = High-density polyethylene, PVC = Polyvinylchloride, PP = Polypropylene, PUR = Polyurethanes, PS = 357 Polystyrene, ABS = Acrylonitrile butadiene styrene, PC = Polycarbonates, HIPS = High-impact polystyrene, EPS = Expanded 358 polystyrene, OECD = Organisation for Economic Cooperation and Development, AqTox = chronic aquatic toxicity, CMR = 359 carcinogenicity, mutagenicity or reproductive toxicity, STOT_RE = specific organ toxicity upon repeated exposure, PBT = 360 persistence, bioaccumulation and toxicity, EDC = endocrine-disrupting chemicals.

362 S2.3 Substances of potential concern and regulated substances

More than half of the substances of potential concern were identified using regulator-harmonized hazard classifications (51% for any considered hazard, 63% for CMR substances, 33% for substances causing chronic aquatic toxicity, 69% for substances causing specific target organ toxicity upon repeated exposure), while the rest were identified using company-reported hazard classifications.

368 When comparing all plastic monomers, additives and processing aids to subgroups of substances 369 of potential concern (Figure S22, Figure S21), the following observations can be made:

- 15% of UVCBs, polymers and mixtures were identified as substances of potential concern,
 which is lower than the average when all substances are considered (24%). This is most
 likely due to the substantial data gaps of hazardous properties for UVCBs, polymers and
 mixtures.
- In general, use patterns of the substances of potential concern are better characterized than
 those of the other plastic monomers, additives and processing aids.
- Generally, substances of potential concern are more frequently registered in each region
 except New Zealand than the rest substances. A possible explanation might be that New
 Zealand's chemical inventory focuses on hazardous substances, with limited substances
 with low level of concern registered there.

When comparing among substances of potential concern, it is noted that a larger fraction of the substances identified using regulator-harmonized hazard data are HPVCs, or regulated, than the substances identified using all available hazard data (Table S6). This observation is likely based on the fact that HPVCs are often subject to greater regulatory scrutiny (e.g. under REACH, HPVCs had to be registered earlier than other substances with more comprehensive data requirements), which leads to greater availability of regulator-harmonized hazard data and to the subsequent addition to regulatory lists.

Table S6: Substances of potential concern used as plastic monomers, additives or processing aids identified using different investigated hazard data sources. Company-reported classifications were taken from the EU REACH and CLP registration dossiers (id=297) and the OECD eChemPortal (id=320), while regulator-harmonized hazard classifications were retrieved from all other hazard data relevant sources (Sheet S2 in Supporting Information S1). Company-reported hazard data excludes PBT and EDC classification as these are not reported by these sources or are not extractable.

	Hazard Type ^a	Total	HPVC ^b		Not regulated ^c		Without scientific references ^d	
		#	#	%	#	%	#	%
All Hazard Data	PBT	57	26	45.6	10	17.5	10	17.5
	CMR	951	501	52.7	350	36.8	91	9.6
	EDC	30	17	56.7	3	10.0	3	10.0
	AqTox	1646	754	45.8	897	54.5	188	11.4
	STOT_RE	891	562	63.1	331	37.1	57	6.4
	Total	2486	1254	50.4	1327	53.4	266	10.7
Regulator- harmonized Hazard Data	PBT	57	26	45.6	10	17.5	10	17.5
	CMR	603	311	51.6	143	23.7	68	11.3
	EDC	30	17	56.7	3	10.0	3	10.0
	AqTox	554	318	57.4	216	39.0	18	3.2
	STOT_RE	617	387	62.7	175	28.4	23	3.7
	Total	1260	739	58.7	461	36.6	90	7.1
Company- Reported Hazard Data	PBT	-	-	-	-	-	-	-
	CMR	856	480	56.1	321	37.5	82	9.6
	EDC	-	-	-	-	-	-	-
	AqTox	1640	751	45.8	893	54.5	187	11.4
	STOT_RE	783	509	65.0	298	38.1	46	5.9
	Total	2360	1218	51.6	1281	54.3	256	10.8

^a PBT = persistence, bioaccumulation and toxicity, CMR = carcinogenicity, mutagenicity or reproductive toxicity, EDC = endocrine-disrupting chemicals, AqTox = chronic aquatic toxicity, STOT_RE = specific organ toxicity upon repeated exposure

^b production volumes with more than 1000 t/yr

^c regulated by any of the regulations considered in this study

not

regulated

^d any scientific references according to SciFinder

^e specific organ toxicity after repeated exposure or chronic aquatic toxicity

493



393

A – All Hazard Data

Substances of (potential) concern

620

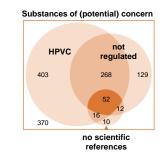
121

no scientific

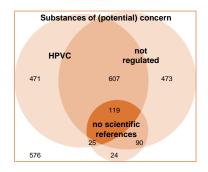
references

27

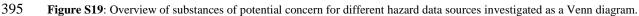
B – Regulator-harmonized



C – Company-reported



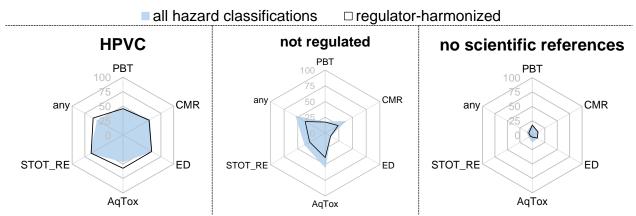
394

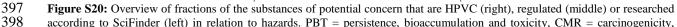


396

HPVC

488

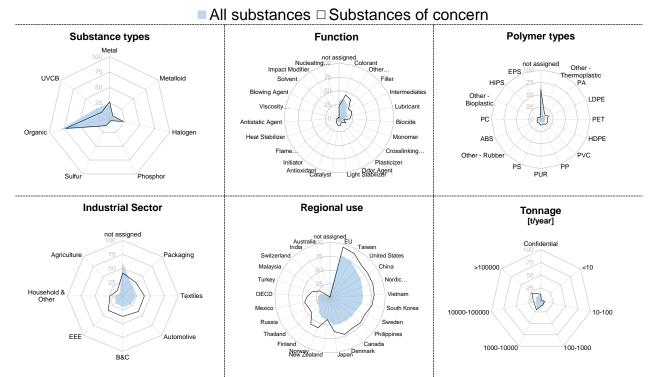




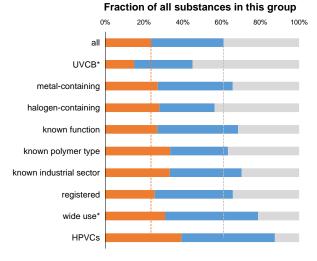
 $\frac{398}{399}$ mutagenicity or reproductive toxicity, ED = endocrine-disrupting chemicals, AqTox = chronic aquatic toxicity, STOT_RE = specific

400 organ toxicity upon repeated exposure

401

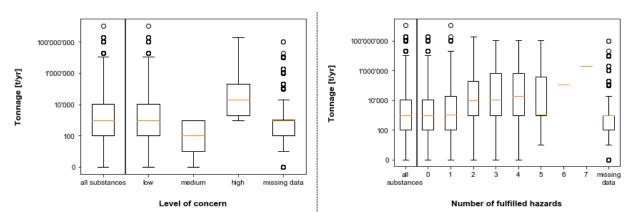


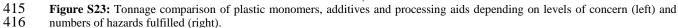
402 Figure S21: The use and substance type profiles of all plastic monomers, additives and processing aids identified in comparison to 403 the identified substances of potential concern as radar charts. Each axis shows the percentage of the substances belonging to the 404 respective group. UVCB = Substances of unknown or variable composition, complex reaction products or biological materials (for 405 this graphic UVCBs also contain regular mixtures and polymers), PA = Polyamides, LDPE = Low-density polyethylene, PET = 406 Polyethylene terephthalate, HDPE = High-density polyethylene, PVC = Polyvinylchloride, PP = Polypropylene, PUR = 407 Polyurethanes, PS = Polystyrene, ABS = Acrylonitrile butadiene styrene, PC = Polycarbonates, HIPS = High-impact polystyrene, 408 EPS = Expanded polystyrene, B&C = Building and construction, EEE = Electrical and electronic equipment, OECD = Organisation 409 for Economic Cooperation and Development



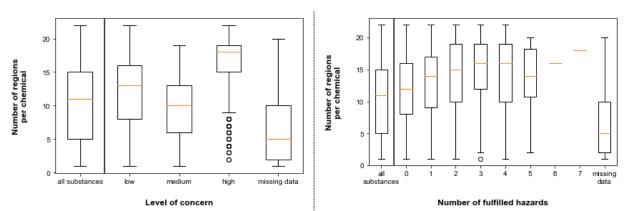
Substance of Concern Non-hazardous Missing data

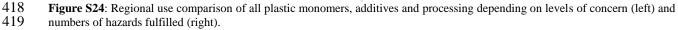
- 411 Figure S22: Comparison of numbers of substances of potential concern and substances with available hazard data for different
- 412 substance groups. *UVCB = Substances of unknown or variable composition, complex reaction products or biological materials
- 413 (UVCBs), simple mixtures and polymers; *wide use = use in more than 5 investigated regions

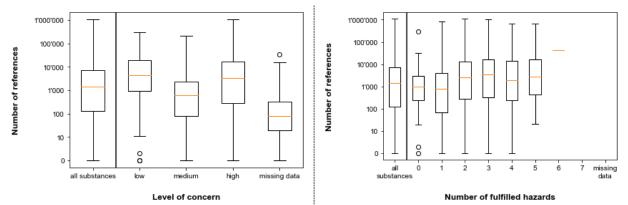






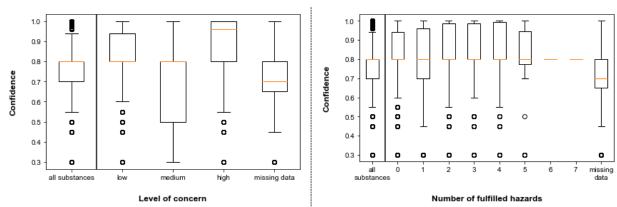


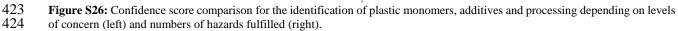




420 Figure S25: Scientific references comparison of all plastic monomers, additives and processing depending on level of concern (left)
 421 and numbers of hazards fulfilled (right).







426 S3 COMPARISON OF CHEMICAL USAGE IN OTHER MATERIALS

The potential health and environmental impacts of plastic monomers, additives and processing aids are difficult to compare to those of chemicals used in other materials, due to several factors such as unclarity in chemical demand, their hazards, releases and exposure. Similarly to plastics (i.e. more than 10000 substances may be employed; about 3% of all substances on the global market), production of other materials may also employ a large diversity of substances (paper: 17000; textiles: 3500; wood products: 1000; food additives: 3000 substances).^{13–18}

433 Globally, production of thermoplastics requires 222 million t/yr monomers and 18 million t/yr

434 plastic additives [plasticizers: 7.5 million t/yr, other plastic additives (excluding processing aids):

435 10 million t/yr], i.e. 27 % (monomers) and 2.2 % (plastic additives) of the global demand of

436 chemicals (820 million t/yr).^{19–21} Global chemicals demand for other materials may fall in similar

437 ranges as plastic additives (paper: 51 million t/yr; textiles: 29 million t/yr, food additives: 27

438 million t/yr).^{14–17}

439 **REFERENCES**

- Groh, K. J.; Backhaus, T.; Carney-Almroth, B.; Geueke, B.; Inostroza, P. A.; Lennquist, A.;
 Leslie, H. A.; Maffini, M.; Slunge, D.; Trasande, L.; Warhurst, A. M.; Muncke, J. Overview
 of Known Plastic Packaging-Associated Chemicals and Their Hazards. *Sci. Total Environ.* **2019**, *651*, 3253–3268. DOI 10.1016/j.scitotenv.2018.10.015.
- 444 (2) Sheftel, V. O. *Indirect Food Additives and Polymers*, 1st ed.; CRC Press: Boca Raton, 2000.
 445 DOI 10.1201/9781482293821.
- 446 (3) Ullmann, F. *Ullmann's Encyclopedia of Industrial Chemistry*, Ed 6 (2003.; John Wiley &
 447 Sons, Inc.: Weinheim, DE, 2003. DOI 10.1002/14356007.
- 448 (4) Maier, R.-D.; Schiller, M. *Handbuch Kunststoff-Additive*, 4., vollst.; Maier, R. D., Schiller,
 449 M., Eds.; Carl Hanser Verlag GmbH & Co. KG: München, 2016. DOI 10.3139/9783446432918.fm.
- 451 (5) Kirk, R. E.; Othmer, D. F. *Kirk-Othmer Encyclopedia of Chemical Technology*, 5th ed.;
 452 Kroschwitz, J. I., Seidel, A., Eds.; John Wiley & Sons, Inc.: Hoboken, N.J., USA, 2004.
- 453 (6) European Chemicals Agency (ECHA). Advanced Search for Chemicals
 454 https://echa.europa.eu/advanced-search-for-chemicals (accessed Dec 9, 2019).
- 455 (7) European Parliament; Council of the European Union. *Council Regulation (EC) 1935/2004*456 *on Materials and Articles Intended to Come into Contact with Food*; European Parliament:
 457 Brussels, Belgium, 2004; Vol. 2004R1935, p Regulation (EC) No 1935/200.
 458 http://data.europa.eu/eli/reg/2004/1935/2021-03-27 (accessed May 8, 2021).
- 459 (8) Kröhnke, C.; Schacker, O.; Zäh, M. Antioxidants. In *Ullmann's Encyclopedia of Industrial*460 *Chemistry*; Wiley-VCH Verlag GmbH & Co. KGaA: Weinheim, Germany, 2015. DOI
 461 10.1002/14356007.a03_091.pub2.
- 462 (9) Chemical Abstract Service (CAS). Check Digit Verification
 463 https://www.cas.org/support/documentation/chemical-substances/checkdig (accessed May
 464 8, 2021).
- 465 (10) Americal Chemical Society (ACS). SciFinder https://scifinder.cas.org/ (accessed Apr 15,
 466 2020).

- 467 (11) World Bank. World Bank Data Helpdesk Country Classification
 468 https://datahelpdesk.worldbank.org/knowledgebase/articles/906519 (accessed May 8,
 469 2021).
- 470 (12) Boucher, J. EU Commission Plans EDC Hazard Classes within CLP. *Food Packaging* 471 *Forum*. Zurich 2021. https://www.foodpackagingforum.org/news/eu-commission-plans 472 edc-hazard-classes-within-clp (accessed May 8, 2021).
- 473 (13) Wang, Z.; Walker, G. W.; Muir, D. C. G.; Nagatani-Yoshida, K. Toward a Global
 474 Understanding of Chemical Pollution : A First Comprehensive Analysis of National and
 475 Regional Chemical Inventories. *Environ. Sci. Technol.* 2020, *54* (5), 2575–2584. DOI
 476 10.1021/acs.est.9b06379.
- 477 (14) Bajpai, P. *Pulp and Paper Industry*; Elsevier: Amsterdam, Netherlands, 2016. DOI
 478 10.1016/C2014-0-02795-5.
- 479 Swedish Chemicals Agency (KEMI); Goodpoint AB; Technical University of Denmark; PP (15)480 Polymer AB; Stewart, A.; Gravenfors, E.; Johansson, O.; Eriksson, C.-H. Chemical 481 in *Paperboard*; Sundbyberg, SE. 2019. *Substances* Paper and 482 https://www.kemi.se/en/publications/pms/2019/pm-4-19-chemical-substances-in-paper-483 and-paperboard (accessed May 8, 2021).
- 484 Swedish Chemicals Agency (KEMI); Swerea IVF; Posner, S.; Jönsson, C.; Wiberg, K.; (16)485 Westerholm, E.; Klint, H.; Ivarsson, J.; Henriksson, J.; Thorán, K.; Freij, L.; Heijkenskjöld, L.; Wendt-Rasch, L.; Ernby, P.; Fischer, S.; Strömbom, S. Chemicals in Textiles - Risks to 486 487 Human Health and the Environment; Stockholm, Sweden, 2014. 488 https://www.kemi.se/en/publications/pms/2019/pm-4-19-chemical-substances-in-paperand-paperboard (accessed May 8, 2021). 489
- 490 (17) cefic. 2020 Facts & Figures of the European Chemical Industry; 2020.
 491 https://www.amaplast.org/archivioFiles/Allegati/cefic facts figures 2020.pdf (accessed May
 492 8, 2021).
- 493 (18) US Food and Drug Administration (FDA). GRAS Substances (SCOGS) Database
 494 https://www.fda.gov/food/generally-recognized-safe-gras/gras-substances-scogs-database
 495 (accessed May 8, 2021).

- 496 (19) Walters, P.; Cadogan, D. F.; Howick, C. J. Plasticizers. In Ullmann's Encyclopedia of
 497 Industrial Chemistry; Wiley, 2020; pp 1–27. DOI 10.1002/14356007.a20_439.pub2.
- 498 (20) IHS Markit. *Plastic Additives*; 2017. https://ihsmarkit.com/products/chemical-plastics 499 additives-scup.html (accessed May 8, 2021).
- United Nations Environment Programme (UNEP). *Global Chemicals Outlook II- From Legacies to Innovative Solutions*; United Nations Environment Programme (UNEP):
 Geneva, 2019. https://www.unep.org/resources/report/global-chemicals-outlook-ii legacies-innovative-solutions (accessed May 8, 2021).